

FORM PTO-1390
(REV 10-2004)

ATTORNEY DOCKET NUMBER

1232-5557

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.51)

10/517560

INTERNATIONAL APPLICATION
PCT/JP03/02543

INTERNATIONAL FILING DATE
5 March 2003 (05.03.2003)

PRIORITY DATE CLAIMED
11 June 2002 (11.06.2002)

TITLE OF INVENTION

INK COMPOSITION, PRODUCTION METHOD THEREFOR, AND IMAGE-FORMING
METHOD AND IMAGE-FORMING DEVICE USING THE SAME

APPLICANT(S) FOR DO/EO/US

Koichi SATO, Rie UENO, Ikuo NAKAZAWA, Sakae SUDA, Masayuki IKEGAMI, Keiichiro TSUBAKI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected. (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto
 - b. ☒ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 20. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or Information:
 - a. Copy of first two pages of International Publication No. WO 03/104339 A1

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.51) <div style="font-size: 24pt; font-weight: bold;">10/517560</div>		INTERNATIONAL APPLICATION NO. PCT/JP03/02543		ATTORNEY'S DOCKET NO. 1232-5557	
21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1,110.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO...\$950.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$790.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33 (1) - (4).....\$750.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1) - (4).....\$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS PTO USE ONLY <div style="border: 1px solid black; padding: 5px;"> <div style="display: flex; justify-content: space-between;"> \$ 950.00 = </div> </div>	
Surcharge of \$130 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	11 - 20 =		x \$18.00	\$ 00.00	
Independent claims	5 - 3 =	2	x \$88.00	\$ 176.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+\$300.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$1,126.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2..				\$	
SUBTOTAL =				\$1,126.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$1,126.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 40.00	
TOTAL FEES ENCLOSED				\$1,166.00	
				Amount to be refunded:	\$
				charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$1,166.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-4500. Order No. <u>1232-5557</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Customer No. 27123 Enter Address Here Morgan & Finnegan, LLP 3 World Financial Center New York, NY 10281-1202 Telephone (212) 415-8700 Facsimile (212) 415-8701					
				<div style="display: flex; justify-content: space-between;"> <div style="text-align: center;"> SIGNATURE Joseph A. Calvaruso NAME </div> <div style="text-align: center;"> 12/8/04 DATE </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div style="text-align: center;"> 28,287 REGISTRATION NO. </div> </div>	

Customer No. 27123

Docket No. 1232-5557

IN THE UNITED STATES

☐ RECEIVING OFFICE (RO/US)
☒ DESIGNATED OFFICE (DO/US)
☒ ELECTED OFFICE (EO/US)

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INK COMPOSITION, PRODUCTION METHOD THEREFOR, AND IMAGE-FORMING
METHOD AND IMAGE-FORMING DEVICE USING THE SAME

APPLICANT(S)
Koichi SATO, Rie UENO, Ikuo NAKAZAWA, Sakae SUDA, Masayuki Ikegami, Keiichiro Tsubaki

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attn: Box DO/EO/US

**VERIFIED CERTIFICATION OF EXPRESS MAILING DATE
(INTERNATIONAL APPLICATION (37 CFR 1.10(c)))**

I declare that on **December 8**, 2004 I deposited with the United States Postal Service in an envelope "Express Mail, Post Office to Addressee", bearing Label Number **EV 460049293 US**, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 and having an express mail certification which I executed, the following papers:

1. Transmittal Letter to the United States Designated/Elected Office Concerning a Submission under 35 USC 371 -2 pp., with executed combined declaration/power of attorney 2 pp., copy of application - Specification 40 pp; claims 2 pp; abstract 1 p; 1 sheet of formal drawings; and all other items indicated as enclosed.
2. Information Disclosure Statement 2 pp., Form PTO-1449 - 1 p., copies of 8 references cited therein.
3. Recordation of Assignment w/executed assignment
4. Application Data Sheet 2 pp.
5. Check in the amount of \$1,166.00
6. Return postcard receipt

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

Albert Isles

(Typed or printed name of person making this verified statement)

December 8, 2004
Date

(Signature of person making this verified statement)

(Verified Certification of Express Mailing Date (International Application) [13-12])